



4-14-08

RD-28408  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Keyes et al.

Serial No.: 09/871,341

Filed: May 31, 2001

For: METHODS AND SYSTEMS  
FOR PORTFOLIO CASH FLOW  
VALUATION

:  
: Art Unit: 3692  
:  
: Examiner: Clement B. Graham  
:  
:

**Mail Stop: Amendment**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**TRANSMITTAL**

1. Transmitted herewith is:  
Transmittal (3 pages)  
Amendment in response to Office Action dated December 13, 2007 (16 pages)

**STATUS**

2. Applicant  
☐ claims small entity status.  
☒ is other than a small entity.

04/14/2008 HLE333 00000056 012384 09871341

01 FC:1251 120.00 DA

**EXTENSION OF TERM**

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☒ Applicant petitions for an extension of time under 37 C.F.R. 1.136  
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

Extension for response within:	Other than small entity Fee	Small entity Fee (if applicable)
<input checked="" type="checkbox"/> first month	\$ 120.00	\$ 60.00
<input type="checkbox"/> second month	\$ 460.00	\$ 230.00
<input type="checkbox"/> third month	\$ 1,050.00	\$ 525.00
<input type="checkbox"/> fourth month	\$ 1,640.00	\$ 820.00
<input type="checkbox"/> fifth month	\$ 2,230.00	\$1,115.00

Fee: \$120.00

If an additional extension of time is required, please consider this a petition therefor.

*(Check and complete the next item, if applicable)*

— An extension of \_\_\_\_\_ months has already been secured. The fee paid  
therefor \$\_\_\_\_\_ is deducted from the total fee due for the total months  
of extension now requested.

Extension fee due with this request \$120.00

OR

- (b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

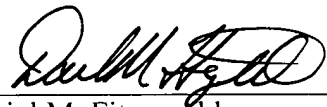
**FEE FOR CLAIMS**

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)	SMALL ENTITY		OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDITIONAL RATE FEE	OR	ADDITIONAL RATE FEE
		MINUS		=	x \$25.00 = \$		x \$50.00 = \$
TOTAL INDEP.		MINUS		=	x \$105.00 = \$		x \$210.00 = \$
— FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$185.00 = \$		+ \$370.00 = \$
					TOTAL ADDITIONAL FEE \$	OR	TOTAL ADDITIONAL FEE \$

(a) ☒ No additional fee for Claims is required**OR**(b) ☐ Total additional fee for claims required \$ \_\_\_\_\_**FEE PAYMENT**

5. Attached is a check in the sum of \$ \_\_\_\_\_

☒ Charge Deposit Account No. 01-2384 the sum of \$120.00.  
A duplicate of this transmittal is attached.**FEE DEFICIENCY**6. ☒ If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.**AND/OR**☒ If any additional fee for claims is required, charge Deposit Account No. 01-2384.7. ☐ Other:


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Daniel M. Fitzgerald  
Reg. No. 38,880  
ARMSTRONG TEASDALE LLP  
One Metropolitan Square, Suite 2600  
St. Louis, MO 63102  
314-621-5070